

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-1693-M)

In the Application of:

Beck, et al.

Serial No.: 09/895,843

Filed: June 29, 2001

For: Compounds for the Treatment  
of Alzheimer's Disease



Art Unit: 1624

Examiner: Sudhaker B. Patel

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
  - a) Change of Correspondence Address (1 sheet);
  - b) Revocation of Prior Powers of Attorney (4 sheets);
  - c) Recordation and Assignments previously submitted (5 sheets); and
  - d) Return Receipt Postcard.
2. With respect to fees:
  - a) No fee is required.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 15, 2004.

Respectfully submitted,

  
Bradley W. Crawford  
Registration No. 50,494

<b>CHANGE OF CORRESPONDENCE ADDRESS Application</b>  Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	09/895,843
	Filing Date	June 29, 2001
	First Named Inventor	James P. Beck, et al.
	Art Unit	1645
	Examiner Name	Patel, Sudhaker B.
	Attorney Docket Number	01-1693-M

Please change the Correspondence Address for the above-identified application to:



Customer Number:

**20306**

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone			Fax		

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:



Applicant/Inventor



Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).



Attorney or agent of record. Registration Number 50,494.



Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 C.F.R. 1.33(a)(1). Registration Number \_\_\_\_.

Typed or Printed Name	Bradley W. Crawford		
Signature	<i>Bradley W. Crawford</i>		
Date	October <u>15</u> , 2004	Telephone	312 913-2114

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-1693-M)

In re Application of:

James P. Beck et al.

Serial No.: 09/895,843

Filed: June 29, 2001

For: Compounds to Treat Alzheimer's Disease )



) Group Art Unit: 1645

) Examiner: not assigned

Commissioner for Patents  
Washington, DC 20231

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST**  
**(REVOCATION OF PRIOR POWERS)**

As assignee of record of the entire interest of the above identified

☒ application

☐ patent

**REVOCATION OF PRIOR POWERS OF ATTORNEY**

all powers of attorney previously given are hereby revoked and

**NEW POWER OF ATTORNEY**

The undersigned hereby appoints all of the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and directs that all correspondence be addressed to that Customer Number:

Customer Number: 020306

Principal attorney or agent: Steven J. Sarussi

Telephone number: 312-913-0001

**Assignees of Entire Interest:**

Name: Elan Pharmaceuticals, Inc.

Address: 800 Gateway Blvd., South San Francisco, CA 94080

Name: Pharmacia & Upjohn Company  
Address: 301 Henrietta Street, Kalamazoo, MI 49007

**EVIDENCE AND CERTIFICATION OF CHAIN OF TITLE**

☐ Recorded in PTO on \_\_\_\_\_

Reel \_\_\_\_\_  
Frame \_\_\_\_\_

☒ A copy is enclosed herewith (previously submitted for recording to the United States Patent and Trademark Office).

**ASSIGNEE CERTIFICATION**

In accordance with 37 C.F.R. § 3.73 the assignees hereby certify that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignees' knowledge and belief, title is in the assignee seeking to take this action.

Date: 4-16-02

Jean M. Duvall  
Signature

Name: Jean M. Duvall  
Title: Elan Pharmaceuticals, Inc.  
Vice President, Intellectual Property

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature

Name:  
Title:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-1693-M)

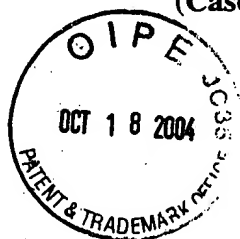
In re Application of:

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) Group Art Unit: 1645

) Examiner: not assigned

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Washington, DC 20231

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(REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified

☒ application

☐ patent

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Name: Pharmacia & Upjohn Company  
Address: 301 Henrietta Street, Kalamazoo, MI 49007

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Reel \_\_\_\_\_  
Frame \_\_\_\_\_

☒ A copy is enclosed herewith (previously submitted for recording to the United States Patent and Trademark Office).

**ASSIGNEE CERTIFICATION**

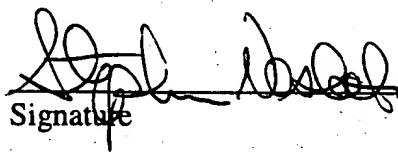
In accordance with 37 C.F.R. § 3.73 the assignees hereby certify that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignees' knowledge and belief, title is in the assignee seeking to take this action.

Date: \_\_\_\_\_

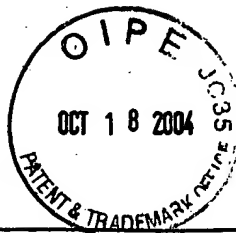
Signature \_\_\_\_\_

Name: Jean M. Duvall  
Title: Elan Pharmaceuticals, Inc.  
Vice-President Intellectual Property

Date: 4/22/02

  
Signature \_\_\_\_\_

Name: STEPHEN L. NESBITT  
Title: ASSISTANT GENERAL COUNSEL & DIRECTOR

RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

James P. Beck  
Roy Hom  
Andrea Gailunas  
Barbara Jagodzinska  
Varghese John  
Michel Maillard

## 2. Name and address of receiving party(ies):

Pharmacia & Upjohn Company  
301 Henrietta Street  
Kalamazoo, MI 49007

and

Elan Pharmaceuticals, Inc.  
800 Gateway Blvd., S.  
San Francisco, CA 94080Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: August 14, 2001, October 24, 2001, October 29,  
2001, November 6, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s)

09/895,843

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anna M. Nelson  
Address: Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

DO NOT USE THIS SPACE

## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anna M. Nelson

Name of Person Signing

  
Signature

November 8, 2001

Date

Total number of pages including cover sheet, attachments, and document: 5

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Box Assignments  
Director - U.S. Patent and Trademark Office  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

**·ASSIGNMENT**

WHEREAS, I, James P. Beck, residing at 8351 Canary Lane, Kalamazoo, MI 49009, made certain new and useful inventions and improvements for which I filed an application for Letters Patent of the United States on June 29, 2001, serial No. 09/895,843 which is entitled Compounds to Treat Alzheimer's Disease.

AND WHEREAS, Pharmacia& Upjohn Company, a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 301 Henrietta Street, Kalamazoo, MI 49007 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, I have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I do hereby agree that I and my executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.



AND, furthermore I covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 14 day of August, 2001.

James P. Beck  
James P. Beck

STATE OF Michigan )  
 ) ss.  
COUNTY OF Kalamazoo )

On this 14th day of August, 2001, before me personally appeared James P. Beck to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

[SEAL]

Debra J. Marquette  
Notary Public

DEBRA J. MARQUETTE  
Notary Public, Kalamazoo County, MI  
My Commission Expires 8/25/2004

**ASSIGNMENT**

WHEREAS, we, Roy Hom, residing at 82 Ina Court, San Francisco, CA 94112, Andrea Gailunas, residing at 1080 Eddy Street, #501, San Francisco, CA 94109, Barbara Jagodzinska, residing at 1485 Kentfield Ave., Redwood City, CA 94061, Varghese John, residing at 1722 18th Avenue, San Francisco, CA 94122 and Michel Maillard, residing at 219 Shorebird Circle, Redwood Shores, CA 94065, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on June 29, 2001, Serial No. 09/895,843 which is entitled Compounds to Treat Alzheimer's Disease.

AND WHEREAS, Elan Pharmaceuticals, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 800 Gateway Blvd., South San Francisco, CA 94080 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for good and sufficient consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and

the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2001.

Roy Hom 6 Nov. 2001  
Roy Hom

Andrea Gailunas 10.29.01  
Andrea Gailunas

Barbara Jagodzinska 10-29-01  
Barbara Jagodzinska

Varghese John 10/29/01  
Varghese John

Michel Maillard 10/24/01  
Michel Maillard